

# **ALASTAIR HUDSON**

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TEACHING AWARDS

**National Teaching Fellow**

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## PUBLICATIONS

### **Sole-authored Books**

1. *The Law of Finance*  
\*“Classics Series” student textbook; 1e, Sweet & Maxwell, 2009, 1,428pp +cxxx  
\*Practitioner Edition; 1e, Sweet & Maxwell, 2010, 1,440pp +cxxxii.<sup>1</sup>
2. *Equity and Trusts*; 6e, Routledge-Cavendish, 2009, 1,215pp.
3. *Securities Law*; 1e, Sweet & Maxwell, 2008, 912pp + cxv.
4. *The Law on Financial Derivatives*; 4e, Sweet and Maxwell, 2006, 760pp.
5. *Understanding Equity and Trusts*; 3e, Routledge Cavendish, 2008, 210pp.
6. *The Law on Investment Entities*; Sweet & Maxwell, 2000, 356pp.
7. *Towards A Just Society: Law, Labour and Legal Aid*; Pinter, “Citizenship and Law Series”, ed Robert Blackburn, 1999, 270pp.
8. *Swaps, Restitution and Trusts*; Sweet & Maxwell, 1999, 245pp.
9. *The Law on Homelessness*; Sweet & Maxwell, 1997, 449pp.

### **Co-authored Books and Encyclopaedia**

10. *The Law of Trusts*, with Geraint Thomas; 1e, OUP, 2004, 1,907 pp; 2e, OUP, 2010, 1,681pp.
11. *Charlesworth’s Company Law*, with Stephen Girvin and Sandra Frisby, 18e, Sweet & Maxwell, July 2010, 836pp.<sup>2</sup>
12. *Palmer’s Company Law*, 25<sup>th</sup> edition, Sweet & Maxwell, ed. Geoffrey Morse. Loose-leaf encyclopaedia. Contributor and member of editorial board since 1997. Sole author of own two parts, updates four times per year:
  - Part 5: “Capital Issues”, c.315pp (last re-written completely in 2007).
  - Part 5A: “Open-ended investment companies”, c.200pp (re-write 2009-11).

### **Forthcoming Books**

1. *Understanding Company Law*, 1e, Routledge Cavendish, 2010, 200pp approx.<sup>3</sup>
2. *The Law on Financial Derivatives*, 6e, Sweet & Maxwell, December 2011.
3. *The Law of Property*, 1e, Routledge Cavendish, 2014, with Helena Howe.

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<sup>1</sup> These are two different books with different ISBN references. After some internal fun about marketing spend at the publisher, the hardback edition of this book had to be produced separately, and so I took the opportunity to update the text to deal with the amended Banking Act 2009, proposed EC Directives and other regulations, new cases, etc., and to change the text from the student Classics Series slightly.

<sup>2</sup> A root and branch re-write by a new writing team, all of whom also write on *Palmer’s Company Law*. I am responsible for thirteen chapters (about 45% of the book). Almost all of that text has been written from scratch.

<sup>3</sup> Very similar to *Understanding Equity & Trusts* in style but written so as to take a theoretical overview of company law which is lacking in many textbooks. In effect it seeks to be a single essay about the nature of company law, exposing its historical roots to explain current problems, and using the description of Frankenstein’s monster and other monsters like Melmotte in *The Way We Live Now* and Montague Tigg in *Martin Chuzzlewit* as metaphors for the modern use of companies and the company law rules needed to cope with them.

### Edited Collections of Essays

1. *New Perspectives on Property Law, Obligations and Restitution*; ed. Alastair Hudson; Cavendish, 2004, 378pp.
2. *New Perspectives on Property Law, Human Rights and the Home*; ed. Alastair Hudson, Cavendish, 2004, 334pp.
3. *Modern Financial Techniques, Derivatives and Law*; ed. Alastair Hudson; Kluwer International, 2000, 246pp.
4. *Credit Derivatives: Legal, Regulatory and Accounting Issues*; ed. Alastair Hudson; Sweet & Maxwell, 1999, 198pp.

### Chapters in Books

1. "The regulation of trustees", in *Contemporary Developments in Property Law*, ed. Dixon and Griffiths, Oxford University Press, 2007, p.163-180.
2. "Allotment of Shares", "Transparency Obligations", and other securities law contributions; in *Palmer's Company Law Annotated Guide to the Companies Act 2006*, ed G. Morse, Sweet & Maxwell, 2007. (Updated for Second edition, 2009.) Also published as *Current Law Annotated Statutes: Companies Act 2006*, ed G. Morse, Sweet & Maxwell, 2007.
3. "The taxation of financial derivatives in the UK", in *The Taxation of Derivative Instruments*, ed. Conlon and Acquilino; New York: Research Institute of America Publications, 1999, 10,450 words; and 2006, 15,000 words. Re-written in 2007.
4. "The liabilities of trusts service providers in international financial law" in *The International Trust*, ed. Glasson and Thomas, Jordan Publishing, 2006, p.638-688. Second edition, 2009.
5. "Public offers of shares", in *Charlesworth's Company Law*, ed. Morse, 17<sup>th</sup> edn., Sweet & Maxwell, 2005, p.106-132.
6. "The unbearable lightness of property", in *New Perspectives on Property Law, Obligations and Restitution*, ed. Hudson, Cavendish Publishing, 2004, p.1-38.
7. "Rapporteur: Between morality and formalism in property, obligations and restitution", in *New Perspectives on Property Law, Obligations and Restitution*, ed. Hudson, Cavendish Publishing, 2004, p.359-372.
8. "Equity, individualisation and social justice: towards a new law of the home", in *New Perspectives on Property Law, Human Rights and the Home*, ed. Hudson, Cavendish Publishing, 2004, p.1-36.
9. "Rapporteur: differentiation in property law", in *New Perspectives on Property Law, Human Rights and the Home*, ed. Hudson, Cavendish Publishing, 2004, p.319-327.
10. "The regulatory aspect of English law in derivatives markets", *Modern Financial Techniques, Derivatives and Law*, ed. Alastair Hudson; Kluwer International, 2000, p.69-120

11. "The Law of Finance", in *Lessons from the swaps cases*, ed. P. Birks, Mansfield Press, 1999, 8,000 words.
12. "Seller Liability for Credit Derivatives", *Credit Derivatives: Legal, Regulatory and Accounting Issues*, ed. Alastair Hudson; Sweet & Maxwell, 1999, p.74-106
13. "Restitution of Payments", *Credit Derivatives: Legal, Regulatory and Accounting Issues*, ed. Alastair Hudson; Sweet & Maxwell, 1999, p.138-178
14. "Citizens' Access to the Law", in *Constitutional Reform*; ed. Blackburn and Plant; Longman, 1999
15. "The European Regulation of Financial Derivatives and Securities", in *Developments in European Company Law*, ed. B. Rider and M. Andenas; Kluwer, 1997, 159-180

### Articles

1. "The Counter-Revolutionary Business Code in Hungary", (1990) *Review of Socialist and East European Law*.
2. "Real values I"; *Personal Tax Planning Review*, 1991, Vol. 1, Issue 1, 63-73
3. "Real values II"; *Personal Tax Planning Review*, 1991, Vol. 1, Issue 2, 115-125
4. "Tools of Necessity"; *Personal Tax Planning Review*, 1991, Vol.1, Issue 2, 93-103
5. "*Marshall v. Kerr*"; *Offshore Tax Planning Review*, 1992, Vol. 2, Issue 2, 139-153
6. "Swaps and wagering contracts"; K.C.L.J. 6 (1995-96), 129-131
7. "SIB consultative paper on equity-related derivatives in take-over transactions"; *Company Lawyer*, 1996, Vol. 17, No.10, 312-314, 3,000 words
8. "Taking Risks with Constructive Trusteeship: *Royal Brunei v. Tan*"; K.C.L.J. 7 (1996-97), 114-117
9. "Setting Mortgages Aside"; K.C.L.J. 7 (1996-97), 120-123
10. "Noisy Neighbours: Nuisance and Environmental Protection Act 1990"; *Journal of Planning and Environment Law*; [1996] J.P.L. 916-918
11. "Justice in a reasonable period"; K.C.L.J. 8 (1997-98), 133-136
12. "Void interest swaps: restitution not reinforcement"; *Company Lawyer*, 1998, Vol. 19, No.6, 181-182, 1,777 words
13. "The taxation of financial derivatives in the UK"; *Derivatives: Tax, Regulation and Finance*; Published by RIA Publications, USA; 1998, Vol. 6, No. 3, 1-14, 10,450 words
14. "Mapping the international law of finance"; *European Business Law Review*; 1998, July/August, 244-248
15. "Derivatives: internal controls for end-users and sellers"; *Company Lawyer*, 1999, Vol. 20, 7,085 words
16. "Master agreements in derivatives transactions: I"; *Derivatives: Use Trading and Regulation*, 1999, Vol. 4, No. 4, 26-33, 4,900 words\*
17. "Assessing mistake of law in derivatives transactions"; *Journal of International Banking Law*, [1999] J.I.B.L., Issue 14:03, 3,861 words

18. "Master agreements in derivatives transactions: II"; *Derivatives: Use Trading and Regulation*, March, 1999, 4,900 words\*
19. "Money as Property in Financial Transactions"; *Journal of International Banking Law*, [1999] J.I.B.L., Issue 14:06, p.170-177, 5,000 words
20. "Towards the Just Society – Labour's legal agenda"; *Renewal*, 2000, Vol. 8 No.3, p.61-70
21. "Dishonest assistance" in *International Trusts Law Bulletin*, Jordan Publishing, February 2006
22. "Current legal problems concerning trusts, fiduciaries and finance", (2006) *Journal of International Banking Law and Regulation*, Vol 21, 149-155, 6,069 words.
23. "Dishonest assistance" in (2007) 1 *Journal of International Corporate and Trusts Planning*, 7,500 words.

### **Other publications**

- *Access to Justice*; 1995, Labour Party Policy Document for which I was the principal author, pilot through committees, and so forth.
- *Hedging our futures; regulating the derivatives markets*; with Ruth Kelly (latterly MP), Fabian Society, November 1994, 17pp, ISBN 0 7163 3018 0
- *Roll Over Relief on the Transfer of Business Assets*; co-authored with Andrew Thornhill QC and Timothy Lyons, Key Haven Publications, 1993, 53pp, ISBN 1 870070 76 3
- Legal editor, *Encarta World Dictionary*, London: Bloomsbury, 2000.
- Member of the editorial board and contributor to *Current Law Monthly Digest*, 1993-2000 approx.
- PhD Thesis: *Derivatives, Restitution and Trusts*. Examined and passed without changes January 1999, registered at Institute of Advanced Legal Studies, University of London

## Education

- Date of Birth: 6<sup>th</sup> November 1968
- Alpha School, Harrow (1973-1982)
- Merchant Taylors' School, Northwood (1982-1987)
- **LLB**, King's College London (1987-1990)
- Bar Vocational Course, Inns of Court School of Law (1990-1991)
- Pupillage, 24 Old Buildings, Lincoln's Inn, (1991-1992)
- **LLM**, King's College London (part-time) (1991-1993)
- **PhD**, Institute of Advanced Legal Studies, University of London (part-time) (1994-1998)

## Scholarships, Prizes and Awards

- Hardwicke Scholarship, Lincoln's Inn, (1989)
- Barlow, Lyde and Gilbert Trusts Law Prize, King's College London (1989)
- Arthur Andersen Tax Law Prize, King's College London, (1990)
- Jelf Medal, School of Law, King's College London for "the most outstanding contribution to the life of the law school" (1990)
- Postgraduate scholarship, King's College London (1990)
- Inns of Court Studentship (1990)
- Lincoln's Inn Pupillage Award (1991)
- Various, lesser school and other prizes, bursaries and awards not listed here.
- UK Law Teacher of the Year (2008) – administered by UKCLE
- Elected Fellow of the Royal Society of Arts (2008)
- Fellow of the Higher Education Academy (2008)

## Career History

- 1991-1992: Pupil barrister, 24 Old Buildings, Lincoln's Inn
- 1992-1997: Special Advisor, Legal and constitutional affairs (for Labour Party front bench, esp. Paul Boateng MP) (pro bono)
- 1992-1994: Legal counsel and global financial analyst, Citibank NA
- 1994-1995: Legal counsel, Goldman Sachs
- 1994-1997: Part-time and fixed-term lecturer, King's College, University of London
- 1994-1997: Barrister, Hardwicke Building, Lincoln's Inn, & 2 Paper Buildings, Temple (door tenant)
- 1997-1999: Lecturer in law, Queen Mary & Westfield College, University of London
- 1999-2001: Senior Lecturer in law, Queen Mary, University of London
- 2001-2004: Reader in Equity & Law, Queen Mary, University of London
- 2004-present: Professor of Equity & Law, Queen Mary, University of London

## College and Departmental Administration

- Deputy Head of Department of Law; 2000-2004
- Senior Tutor, Department of Law; 2000-2003; latterly “Academic Tutor”
- Chair, Undergraduate Affairs Committee, Department of Law; 2000-2003; member Department Teaching and Learning Committee (ongoing)
- Chair, Teaching Quality Committee, Department of Law; 2000-2003
- Member, RAE Steering Group, Faculty of Law 1998-2001
- Director, Undergraduate Foundation Course; 1999-2003
- Member and latterly Chair, Staff Student Liaison Committee 1998-2003
- Member, School of Law Steering Group, 2000-2004
- Member, Faculty Board, Faculty of Law and Social Sciences (since its inception and ongoing)
- Member, College Students Service Board 2000-2003
- Chair, Department of Law Finance Committee, 2004 – 2008
- Member, College Widening Participation Committee (ongoing)
- Member, College Teaching Room Refurbishment Committee (ongoing)
- Member, College Learning Teaching and Assessment Committee, a sub-committee of the College Academic Board (ongoing).
- Various other ad hoc College-level committees due mainly to status as National Teaching Fellow.

## Other Notable Activities

- Trustee of *Law for All*, a charity providing free legal services in West London. Latterly a director since re-organisation as a company limited by guarantee.
- Formerly pro bono legal advisor to vendors for *The Big Issue* and for *The Big Issue Foundation*, and also occasional writer for *The Big Issue*.
- Parliamentary candidate for the Labour Party in Beaconsfield Constituency in 1997 General Election, and special advisor (i.e. identity-card-carrying House of Commons advisor) for, *inter alia*, legal and constitutional affairs to the Labour Party front bench, 1992-1997.



## **Conference Papers and Addresses to International Professional Bodies**

*There follows a chronological list of academic and commercial conference papers and addresses to professional bodies in the UK and overseas (for those events for which I have been able to find records).*

### *CONFERENCE PAPERS*

1. “Indoctrination and the Status Quo”, *Reforming Legal Education*, King’s College London, 16<sup>th</sup> March 1990, (while still an undergraduate; co-academic director).
2. “Postmodernism and law: from ideology to the ostrich”, *Critical Lawyers’ Conference*, University of Glasgow, September 1991, with Steven Wardlaw (shortly after graduation from undergraduate degree).
3. “The Future of Publicly Funded Legal Services”; at *Society of Labour Lawyers’ Fringe Meeting; Labour Party Conference*, Blackpool, 28<sup>th</sup> September 1996.
4. “The Law and Documentation of OTC Derivatives”, Sole speaker; IBC Conferences, Edwardian Hotel, London, 12<sup>th</sup> – 14<sup>th</sup> February 1997.
5. “The Law on OTC Derivatives and Regulatory Compliance”, Sole speaker; IBC Conferences, Marlborough Hotel, London, 26<sup>th</sup> – 28<sup>th</sup> February 1997.
6. “The Legal Aspect of Credit Derivatives”; at *Credit Derivatives and Credit Trading Workshop; International Financial Review Conference*, Lanesborough Hotel, London, 4<sup>th</sup> March 1997.
7. “Credit and Regulatory Issues in The Law and Documentation of OTC Derivatives”, Sole speaker; IBC Conferences, Edwardian Hotel, London, 3<sup>rd</sup> & 4<sup>th</sup> July 1997.
8. “The Law and Documentation of OTC Derivatives”, Sole speaker; IBC Conferences, Edwardian Hotel, London, 8<sup>th</sup> & 9<sup>th</sup> July 1997.
9. “Fundamentals of Judicial Review”, *British Association for Central and Eastern Europe*, conference for Slovakian judges, London, 15<sup>th</sup> July 1997.
10. “The Law on Issues of Securities”; sole speaker, Langham Court Hotel, London, IBC Conferences, 22<sup>nd</sup> September.
11. “The Law and Documentation of OTC Derivatives”, sole speaker; IBC Conferences, “86 Park Lane”, Grosvenor House Hotel, London, 23<sup>rd</sup> - 26<sup>th</sup> September 1997.
12. “The Application of Judicial Review”, *British Association for Central and Eastern Europe*, conference for Romanian judges, London, 15<sup>th</sup> October 1997.
13. “Managing the legal risk of derivatives”, at Risk Publications conference on *Equity Derivatives in Corporate Finance* at Washington Hotel, London, 28<sup>th</sup> October 1997.
14. “Legal, Accounting and Control Challenges of Credit Derivatives”; Chair and concluding address on “Recent Legal Developments”; IBC Conferences, Royal Garden Hotel, London, 8<sup>th</sup> December 1997.
15. “Controlling Systemic Risk”, *Equity, Restitution and Financial Derivatives*, Institute of Advanced Legal Studies, 15<sup>th</sup> January 1998.

16. "The Legal Structure of OEIC's: Creation, Use and Exit"; at *A Legal and Regulatory Analysis of OEIC's*; IBC Conferences, Dorchester Hotel, London, 26<sup>th</sup> February 1998.
17. "Equity, property and restitution after *Westdeutsche Landesbank*", staff seminar paper at QMUL Faculty of Law, 10<sup>th</sup> March 1998.
18. "The Legal Nature of Derivatives"; *Legal Resources Group*, Langham Hilton Hotel, London, 17<sup>th</sup> March 1998.
19. "Collateralisation, Credit Support and Risk"; at *Current Problems with Derivatives* symposium organised by *Institute of Finance Law* of Wolverhampton University, at the Café Royal, London, 5<sup>th</sup> May 1998.
20. "The Law, Documentation and Regulation of OTC Derivatives"; Sole speaker; IBC Conferences, Tower Thistle Hotel, London, 12<sup>th</sup> & 13<sup>th</sup> May 1998.
21. "The Law, Documentation and Regulation of OTC Derivatives – a course for non-lawyers"; Sole speaker; IBC Conferences, Tower Thistle Hotel, London, 19<sup>th</sup> & 20<sup>th</sup> May 1998.
22. "Seller Liability for Credit Derivatives"; at a symposium titled *Trans-national Corporate Finance and the Challenge to the Law*; "W.G. Hart Workshops 1998"; Institute of Advanced Legal Studies, University of London, 8<sup>th</sup> July 1998 (co-academic director).
23. "Internal Controls for End-users and Sellers of Derivatives"; at *Cambridge Symposium on Economic Crime*; Jesus College, Cambridge, 16<sup>th</sup> September 1998
24. "The Law, Documentation & Regulation of OTC Derivatives"; Sole speaker; IBC Conferences, London, 17<sup>th</sup> & 18<sup>th</sup> September 1998.
25. "The Law, Documentation & Regulation of OTC Derivatives - as it applies to financial engineers and compliance officers"; Sole speaker; IBC Conferences, London, 21<sup>st</sup> & 22<sup>nd</sup> September 1998.
26. "Legal Issues", *The Credit Derivatives Update*, One Whitehall Place, London, IBC Conferences, 12<sup>th</sup> October 1998.
27. "Monitoring Techniques - Safeguarding Collateral and Identifying Insolvency Regulations: proprietary rights, set-off and restitution", at *Credit Derivatives Documentation, Derivatives & the Collateral Function*, Royal Westminster Hotel, London, IIR Conferences, 25<sup>th</sup> November 1998.
28. "Collateralisation Agreements for Derivatives and Other Financial Products", at *Credit Derivatives*, Harrington Hall, London, IIR Conferences, 29<sup>th</sup> April 1999.
29. "Fundamentals of the Law & Regulation relating to OTC Derivatives for non-lawyers", sole speaker; Lanesborough Hotel, IBC Conferences, 17<sup>th</sup> & 18<sup>th</sup> May 1999.
30. "The Law & Regulation relating to OTC Derivatives", sole speaker; Lanesborough Hotel, IBC Conferences, 24<sup>th</sup> & 25<sup>th</sup> May 1999.
31. "Investment Companies, Money and Property", *The Company Lawyer Lecture Series*, Institute of Advanced Legal Studies, University of London, 22<sup>nd</sup> November 1999.
32. "The Law, Documentation & Regulation of OTC Derivatives for Financial Engineers"; Sole speaker; IBC Conferences, Chesterfield Hotel, London, 15<sup>th</sup> & 16<sup>th</sup> May 2000.
33. "Derivatives Documentation, Law & Regulation"; Sole speaker; IBC Conferences, 86 Park Lane, London, 22<sup>nd</sup> & 23<sup>rd</sup> May 2000.

34. "Derivatives, equity and cultural identity", *E-finance and Law*, at the Institute of Advanced Legal Studies, University of London, under the auspices of the International Financial Law Unit of the QMUL Centre of Commercial Law Studies, 7<sup>th</sup> June 2001.
35. "Derivatives and law for pension fund providers", sole speaker, one-day; Governor's House, London, by invitation of M&G, 1<sup>st</sup> October 2001.
36. "The Law, Documentation & Regulation of OTC Derivatives"; Sole speaker; IBC Conferences, Royal Institute of British Architects, London, 12<sup>th</sup>&13<sup>th</sup> November 2001.
37. "The Law, Documentation & Regulation of OTC Derivatives for Financial Engineers"; sole speaker; IBC Conferences, Royal Institute of British Architects, London, 19<sup>th</sup>&20<sup>th</sup> November 2001.
38. "Derivatives Law and Documentation"; sole speaker, Dublin, Irish Commercial Law Centre, Faculty of Law, University College Dublin, sole speaker, 27<sup>th</sup> May 2002.
39. "The unbearable lightness of property", WG Hart Workshops, *The Legal Nature of Property and Obligations*, Institute of Advanced Legal Studies, University of London, 1<sup>st</sup> July 2002, (academic director).
40. "Equity, individualisation and social justice: towards a new law of the home", WG Hart Workshops, *The Legal Nature of Property and Obligations*, Institute of Advanced Legal Studies, University of London, 2<sup>nd</sup> July 2002, (academic director).
41. "Rapporteur", having chaired three day symposium, WG Hart Workshops, *The Legal Nature of Property and Obligations*, Institute of Advanced Legal Studies, University of London, 3<sup>rd</sup> July 2002, (academic director).
42. "Fiduciaries and trusts in financial services law", sole speaker, Dublin, Irish Commercial Law Centre, Faculty of Law, University College Dublin, 3<sup>rd</sup> September 2002.
43. "The Law, Documentation & Regulation of OTC Derivatives"; sole speaker; IBC Conferences, 17 Portland Place, London, 18<sup>th</sup>&19<sup>th</sup> November 2002.
44. "The Law, Documentation & Regulation of OTC Derivatives for Fund Managers"; sole speaker; IBC Conferences, 17 Portland Place, London, 25<sup>th</sup>&26<sup>th</sup> November 2002.
45. "The trust as an equitable response", staff seminar paper, QMUL Department of Law, 11<sup>th</sup> December 2002.
46. "The cost of everything and the value of nothing", at a symposium on *Homesharers and the Law*, Kent Law School, University of Kent at Canterbury, 11<sup>th</sup> June 2003.
47. "Collateralisation and taking security in complex financial products", Irish Commercial Law Centre, Faculty of Law, University College Dublin, 2<sup>nd</sup> September 2003.
48. "Introducing the trust to Malta: the financial regulation of trusts", address at the invitation of the Maltese Financial Services Authority to an invited audience of Maltese regulators, civil servants and representatives of the Ministry of Finance, Valletta, Malta, 5<sup>th</sup> July 2004.

49. “The Use of Trusts in International Financial Transactions”, St Julian, Malta, address at the invitation of the International Financial Law Practitioners’ Association of Malta, 5<sup>th</sup> July 2004.
50. “Derivatives Law” Irish Commercial Law Centre, Faculty of Law, University College Dublin, sole speaker, 6<sup>th</sup> & 7<sup>th</sup> September 2004.
51. “Dealing with Derivatives: legal issues” Irish Commercial Law Centre, Faculty of Law, University College Dublin, sole speaker, 30<sup>th</sup> & 31<sup>st</sup> August 2005.
52. “Breach of Trust”, Geneva, Academy & Finance SA, sole speaker, 4<sup>th</sup> and 5<sup>th</sup> July 2006.
53. “The English law of trusts and its overlap with FSA regulation”; at the invitation of the Trust Companies Association of Japan, London, Offices of Baker & McKenzie, 12<sup>th</sup> July 2006.
54. “Trusts and International Finance Law”, at the *Trusts Congress*, Millennium Hotel, 17 Sloane Street, London, hosted by IIR Conferences and Oxford University Press’s *Trusts and Trustees*, 5<sup>th</sup> December 2006.
55. “Derivatives Law”; a series of seminars/lectures, as sole-speaker, over three days organised by the Malta Financial Services Authority on the law relating to financial derivatives: 12<sup>th</sup>-14<sup>th</sup> March 2007.
56. “The beneficiary principle in English and Maltese trusts law: a comparative analysis”, lecture on trusts law at University of Malta; 12<sup>th</sup> March 2007.
57. “What is a beneficiary?: discretionary trusts, powers and other issues”, Malta Law Institute at the Malta Financial Services Authority, 13<sup>th</sup> March 2007.
58. “Trusts and Insolvency”, Istituto Bancario, University of Catania, 21<sup>st</sup> April 2007. The only non-Italian on a panel at a one-day symposium considering the use of trusts in Italian civil and criminal law.

#### *CONFERENCES DIRECTED*

- “Transnational Corporate Finance and its Challenge to the Law”; *Academic Director, W.G. Hart Workshop 1998*; Institute of Advanced Legal Studies, University of London, 7<sup>th</sup> - 9<sup>th</sup> July 1998.
- “The Legal Nature of Property and Obligations”; *Academic Director, W.G. Hart Workshop 2002*; Institute of Advanced Legal Studies, University of London, 3-day academic symposium 2<sup>nd</sup> – 4<sup>th</sup> July 2002.
- “Reforming Legal Education”, King’s College London, March 1990, co-academic director while still an undergraduate, speakers were comprised of (in equal parts) undergraduates and career academics.
- “The Law and Documentation of OTC Derivatives”; on various occasions in tandem with IBC/Informa Conferences, as above.

*It would be true to say that I have taken an active role in the organisation of all professional conferences to which I have contributed, including their academic and intellectual content, target audience and any ensuing publication of papers.*

## INDICATIONS OF NATIONAL AND INTERNATIONAL STANDING

- The following cases, in various jurisdictions, have cited my research as *the principal rationale for the court's decision*, not simply en passant:
  - *BNP Paribas v Wockhardt EU Operations (Swiss) AG* [2009] EWHC 3116 (Comm) (English High Court - *Law on Financial Derivatives*)
  - *Aberdeen Abdulkader Tyebally v Tyebally Akhtarhusein Hatim* [2009] SGHC 81 (Singapore High Court – *Law on Financial Derivatives*)
  - *Comboni Vincenzo v Shankar's Emporium (Pte) Ltd* [2007] SGHC 55 (*Equity & Trusts*, 2005)
  - High Court of Malta.
- My textbook on *Equity & Trusts* has been adopted as the main text for equity and trusts courses at a number of universities in the UK, and by professional bodies such as the International Financial Law Practitioners' Association of Malta to train that jurisdiction's lawyers on the enactment of the island's first trusts law. It was the sole basis for the first decision on trusts law in Malta.
- My original research into financial derivatives and into modern financial practice has led to recognition at an international level: as evidenced reviewers inter alia in India, by invitations to submit chapters and articles to academic books and journals published outside the UK, by invitations to speak at conferences outside the UK, and by offers to act as an expert witness in litigation concerning the operation of these markets.
- My treatise *The Law of Trusts*, co-authored with Geraint Thomas, has been cited with approval inter alia by the High Court of Australia and the English High Court.
- I am regularly invited to speak by professional bodies overseas. Recent examples have included:
  - an address on the regulation of financial markets and of trusts to the Maltese Financial Services Authority and another address to the International Financial Law Practitioners' Association of Malta on the international use of trusts law;
  - a two-day seminar in Geneva on liability for breach of trust and the nature of international trusts law, to an audience including Professors of Law from Paris I (Pantheon - Sorbonne) and judges of the Court de Cassation under the auspices of Academy & Finance SA;
  - a half-day seminar with the Trusts Companies Association of Japan at their invitation on the topic of financial services regulation of trusts, conducted at the offices of Baker & McKenzie;
  - a number of invitations to address to the Irish Commercial Law Centre and associated professional organisations on the topics variously of the commercial uses of trusts, the collateralisation of complex financial products, and the documentation of financial derivatives.Each of these invitations resulted from the organisers or members of the professional bodies having read one or other of my books.