Securities Law

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Contents

See detailed alterations to Contents made throughout the Synopsis to account for AIM, private equity, takeover & merger regulation, debt securities, mortgages etc as securities, different classes of shares, and the substantive role of EC Directives: with the result that this Contents page is not currently up-to-date.

Preface

PART 1: INTRODUCTION TO THE FUNDAMENTALS OF SECURITIES LAW AND REGULATION

Chapter 1: Securities law in the UK
Chapter 2: Financial services regulation in the UK
Chapter 3: EU directives relating to securities regulation

PART 2: PRACTICE IN THE SECURITIES MARKETS

Chapter 4: The practice of securities markets in the UK
Chapter 5: Procedures internal to the company relating to the issue of securities

PART 3: THE MARKETING OF SECURITIES

Chapter 6: Regulation of Financial Promotion by FSMA 2000
Chapter 7: Regulation of the marketing of securities to the public

PART 4: THE ADMISSION OF SECURITIES TO THE OFFICIAL LIST

Chapter 8: Sources of the law on the official listing of securities
Chapter 9: Listing Rules and the role of the Competent Authority
Chapter 10: Admissions to listing
Chapter 11: The content of prospectuses and listing particulars
Chapter 12: Obligations for the preparation of prospectuses and listing particulars
Chapter 13: Discontinuance and suspension of listing
Chapter 14: Regulations relating to specific types of company
Chapter 15: Regulations relating to specific types of security
PART 5: THE ISSUE OF UNLISTED SECURITIES

Chapter 16: The principles governing the issue of unlisted securities
Chapter 17: Exemptions from the POS Regulations 1995
Chapter 18: Required form and content of a prospectus
Chapter 19: The issue of securities across the European Union

PART 6: THE ALTERNATIVE INVESTMENT MARKET

Chapter 20: AIM Regulations

PART 7: OTHER SPECIES OF TRANSACTION INVOLVING SECURITIES

Chapter 21: Private equity transactions
Chapter 22: Takeovers and mergers

PART 8: LIABILITY CONNECTED TO THE ISSUE OF SECURITIES

Chapter 23: Legal responsibility for the issue of securities
Chapter 24: Civil liability under FSMA 2000
Chapter 25: Civil liability under the general law
Chapter 26: Criminal liability in the issue of securities

PART 9: THE ALLOTMENT OF SHARES

Chapter 27: Applications for securities
Chapter 28: Allotment of shares
Chapter 29: Payment in consideration for allotment
Chapter 30: Issue of shares at a premium

PART 10: MEMBERSHIP AND DEALINGS WITH SHARES

Chapter 31: Membership and to shares
Chapter 32: Transfers of shares
Chapter 33: Sales of shares

PART 11: OTHER FORMS OF SECURITY

Chapter 34: Mortgages and other securities under the general law
Chapter 35: Securitization of assets
Securities Law and Regulation

Synopsis

**PART 1: INTRODUCTION TO THE FUNDAMENTALS OF SECURITIES LAW AND REGULATION**

Chapter 1: Securities law in the UK

- The purpose and nature of the securities markets
- Securities regulation in the UK as part of a global network
  *(Add …) The definition of “securities” for the purpose of this book*
- The philosophy of the Financial Services and Markets Act 2000
- Offers of securities for sale as a contract
- The prospectus establishing the representation underpinning the contract
- The nature of the investor’s remedies for mis-selling
- The scope for financial regulation in the protection of investors
- The policy underlying offers of securities to the public

Chapter 2: Financial services regulation in the UK

Chapter 3: EU legislation dealing with securities regulation

- The EU legislative process for financial services
  - The Lamfalussy process
- The EC listing directives
  - [The Admission Directive]
  - [The Listing Particulars Directive]
  - [The Interim Reports Directive]
- The Prospectus (Public Offers) Directive
- The Transparency Obligations Directive
  - [The Market Abuse Directive]
  - [Financial Reporting directives]

**PART 2: FOUNDATIONS OF THE LAW ON THE ISSUE OF SECURITIES**

Chapter 4: The practice of securities markets in the UK

*The securities markets*
The International Stock Exchange of the United Kingdom and the Republic of Ireland Ltd.
The Listed Market
The Alternative Investment Market ("AIM")
The role of the UK Listing Authority
The specific nature of the markets in debt securities
The nature of the market in unlisted securities
The development of the regulation of the securities markets
  The ancien régime
  The statutory regime under the Financial Services and Markets Act 2000
  The scope of the legal governance of issues of share capital
The development of the law of capital issues
  The role of the UK Listing Authority under the Financial Services and Markets Act 2000
  EC harmonisation and mutual recognition
  Other enactments relevant to the issue of securities

The process of issuing securities
Forms of security
  Equities
  Debt securities
  The commercial purpose of each form of issue
Methods of issuing securities
  Offers to the public and offers not to the public
  Direct invitation by a company
  Offers for sale
  Offer by tender
  Placing or selective marketing
  Rights issue
  Bonus or capitalisation issues, and the scrip dividend
Outline of the issuing process
  Decision-making
  Documentation
Underwriting issues
  Introduction
  The purpose of underwriting
  Companies’ power to pay commission
  Commission paid otherwise than out of newly issued shares
  Restrictions on commission not limited to public issues
  Underwriting debentures
  Disclosure in annual return and balance-sheet
  Form of underwriting agreement
  Firm underwriting
  Authority to apply for shares in underwriter’s name: unconditional authority
  Authority to apply for shares in underwriter’s name: conditional authority
Chapter 5: Procedures internal to the company relating to the issue of securities

The decision to issue securities
Corporate governance
  The various codes on corporate governance in the UK
  The manner in which corporate decisions are made
  The various relationships between directors, financial institutions and shareholders
The rights of shareholders
  The internal constitution of the company
  Institutional shareholders and individual shareholders
  The rights of minority shareholders
Securities issues intended to reduce the power of some shareholders
Loan covenants

PART 3: THE MARKETING OF SECURITIES

Chapter 6: Regulation of Financial Promotion by FSMA 2000

The context of marketing investment products
The FSMA Financial Promotion regime
Liability for unlawful financial promotion

Chapter 7: Regulation of the marketing of securities to the public

Financial promotion in connection with listing applications
Restrictions on financial promotion
Investment advertisements
Unsolicited calls

PART 4: THE ADMISSION OF SECURITIES TO THE OFFICIAL LIST

Chapter 8: Sources of the law on the official listing of securities

The EC listing directives
  [The Admission Directive]
  [The Listing Particulars Directive]
  [The Interim Reports Directive]
The Prospectus (Public Offers) Directive
The Transparency Obligations Directive
[The Market Abuse Directive]
[Financial Reporting directives]
The general scheme under Part VI, Financial Services and Markets Act 2000
Manner of giving effect to the EC directives by the Act
Treasury supervision of the competent authority
The competent authority
The scope of the activities covered by the legislation
“Offer to the public”
“Securities”
“Issuer”
Listing particulars

Chapter 9: Listing Rules and the role of the Competent Authority

The scope of the Listing Rules
The powers and duties of the UK Listing Authority, in outline
The establishment of listing rules by the UKLA
The scope of the listing rules
The core principles of the listing rules

Chapter 10: Admissions to listing

Application for listing in general
General prohibitions on particular types of listing
Basic conditions for admission
Conditions to be satisfied by the applicant
Conditions to be satisfied in relation to the securities
Required documentation: listing particulars and prospectuses
The application procedure for admission to the official list
Sponsors and listing agents
The requirement for sponsors and listing agents
General principles governing the obligations of the sponsor
Obligations of a sponsor in relation to an application for listing
Disciplinary actions in relation to sponsors
Methods of bringing securities to listing
Refusal of listing
Sanctions – financial penalties and discontinuance of listing
Immunity
Judicial review

Chapter 11: The content of prospectuses and listing particulars
Listing particulars
The role of listing particulars within the official listing process
Regulations concerning the publication of listing particulars
The requirement for listing particulars or a prospectus under FSMA 2000
Publication of listing particulars and prospectuses
Exemptions from the obligation to publish listing particulars
Contents of listing particulars
Specific items of information
Authorisation to omit information under FSMA 2000
Authorisation to omit information under the listing rules
Supplementary listing particulars
Registration of listing particulars

Chapter 12: Obligations for the preparation of prospectuses and listing particulars
The general duty of disclosure in listing particulars
Persons responsible for listing particulars
“Persons responsible” under statute
The liability of professional advisors for listing particulars
Compensation for misleading particulars
Continuing obligations on listed companies imposed by the EU Directives
General, continuing obligations in relation to listing rules
The Model Code: corporate governance and official listing
Sanctions for breach of continuing obligations

Chapter 13: Discontinuance and suspension of listing
The ambit of the provisions on censure, cancellation and suspension of listing
Suspension of the listing of securities
Cancellation of listing of securities
Censure and publication of censure
Procedural matters

Chapter 14: Regulations relating to specific types of company

Chapter 15: Regulations relating to specific types of security
Debt securities
Securitised derivatives
Mortgages
Debentures
PART 5: THE ISSUE OF UNLISTED SECURITIES

Chapter 16: The principles governing the issue of unlisted securities

The scope of the discussion
The structure of the law and the regulation relating to offers of unlisted securities
The Public Offers of Securities Regulations 1995
   Investment to which the regime applies: “securities”
   Definition of “offer”
   Definition of “offers to the public in the United Kingdom”
The need for the publication and registration of a prospectus
Offers of unlisted securities outwith the POS Regulations 1995
Public offers generally restricted to public companies

Chapter 17: Exemptions from the POS Regulations 1995

Exemptions
   General exemptions
   Offers with high or low minimum consideration
   Pre-emption rights over shares in a private company
   Employee share ownership schemes
   Issues connected to corporate reconstructions
   Restricted offers
   Existing issues and further prospectuses
Mutual recognition of prospectuses across the EU
Exemption for Euro-securities

Chapter 18: Required form and content of a prospectus

Introduction to the required form and contents of prospectus
Contents requirements in prospectus
General duty of disclosure in prospectus
Statutory liability for content of prospectus
Multiple errors in production of prospectus
Common law liability for content of prospectus
   The “golden rule” at common law as to framing prospectuses
   The inter-action of the common law principle with the statutory principle
Statements made in a prospectus
   General principles
   Misleading statements
   Effect of references to reports in prospectuses
Statement only of belief or opinion
Ambiguous statements
Reliance of applicant on statement of fact without trying to verify
Supplementary prospectus
Permitted omissions from prospectus and supplementary prospectus
Advertisements and promotion of the securities
Investors’ remedies in relation to the contents of the prospectus
Persons responsible for the prospectus
Remedies under statute
Remedies at common law
Remedies in equity
Public offers of unlisted securities outside the POS Regulations 1995

Chapter 19: The issue of securities across the European Union

The definition of “Euro-securities”
The regulation of the issue of Euro-securities to the public
The advertisement of Euro-securities
Pre-vetting of prospectuses for mutual recognition

Part 6: The Alternative Investment Market

Chapter 20: AIM regulations

There needs to be a chapter on the informality and self-regulating aspect of the AIM and also the tax breaks associated with AIM: possibly a distinct chapter on the taxation of AIM companies, but this raises the problem of needing to consider the whole of the taxation of securities markets.

Part 7: Other Species of Transactions Involving Securities

Chapter 21: Private Equity

There must be one chapter, at least, on private equity placements – cf. shareholder agreements, etc.

Chapter 22: Takeovers and Mergers

Part 8: Liability Connected to the Issue of Securities

Chapter 23: Responsibility for the issue of securities
Promoters
   The definition of a “promoter”
   Date from which a person becomes a promoter
   General duties of promoters
   Personal liability in restitution for breach of duty
   The fiduciary position of promoters
   Purchase of property as trustee for the company
   Promoter selling promoter’s own property to the company
   Prohibition on transfer of non-cash assets to a public company
   Remuneration of promoters
   Liability of promoters in respect of prospectuses

Directors of the company
   The nature of a director’s liabilities
   The decision to issue securities
   Preparation of the prospectus
   Liabilities towards original subscribers
   Extent of duty to subsequent investors

Professional advisors
   The various forms of professional advisors and their roles
   Extent of responsibility for mis-selling securities
   Exclusion of the liability of professional advisors

Chapter 24: Civil liability under statute

   The scope of civil liability for sales of securities
   Introductory: the applicable claims and remedies
   The history of the legal treatment of offers of securities
   The “golden rule” in relation to the preparation of prospectuses
   Documents for which the company is responsible
   Appreciation of the true position
   Persons responsible for the preparation of the prospectus
   Liability for a false prospectus at common law
   Liability to effect compensation under statute

Statutory remedies under FSMA 2000
   Compensation under section 90(1) for loss as a result of a defect in listing
   particulars, or a prospectus, supplementary listing particulars or a
   supplementary prospectus
   Bringing a claim under s.90
   The defect necessary to ground a claim under s.90(1)
   The measure of compensation
   Defences
      The defendant’s belief
      Statement by an expert
      Correction.
Official statement or document.
Claimant’s knowledge.
Compensation under section 90 for loss arising from failure to submit or publish supplementary listing particulars or a supplementary prospectus
Defences to a claim relating to supplementary listing particulars
Injunctions, restitution orders and damages for contravention of advertisement restrictions
Statutory remedies in relation to unlisted securities
Compensation under regulation 14(1) for loss as a result of a defect in a prospectus or supplementary prospectus
The claimant under regulation 14(1)
The defect under regulation 14(1)
The measure of compensation under regulation 14(1)
Defences
The defendant’s belief
Statement by expert.
Correction.
Official statement or document.
Claimant’s knowledge.
Defences under regulation 15
Compensation under regulation 14(3) for loss arising from failure to deliver a supplementary prospectus for registration
Other liability for breaches of the POS Regulations 1995

Chapter 25: Civil liability under the general law

Remedies under statute at general law
Damages under section 2(1) of the Misrepresentation Act 1967 (England and Wales)
Damages under the Law Reform (Miscellaneous Provisions) (Scotland) Act 1985 (Scotland)
Remedies at common law
Deceit and fraudulent misrepresentation
The heads of liability
The measure of damages for deceit
Negligent misrepresentation
The heads of liability
The measure of damages
Breach of contract
The heads of liability
The measure of damages
Contribution and indemnity
Relief under section 727 of the Companies Act 1985
Remedies in equity
Rescission
Rescission in outline
What must be proved
Rescission available only against contracting party
Omissions
When the right to rescind is lost: delay and ratification
When the right to rescind is lost: winding up a bar to rescission
Effect of rescission
Secret profits
Breach of fiduciary duty
Imposition of constructive trust on profits
Authorisation for profits
Injunctions

Chapter 26: Criminal liability in the issue of securities

Criminal offences under FSMA 2000 and misleading statements
   Misleading statements inducing acquisition of investments
   Furnishing false or misleading information
Officer of a company publishing false information
   Theft Act 1968, s19
Non-compliance with statutory requirements
   Non-compliance with the requirements of Part VI FSMA 2000
   Non-compliance with the requirements of POS Regulations 1995

Part 9: The Allotment of Shares

Chapter 27: Applications for securities

Authority to issue securities
   Authority to issue shares
   The statutory restrictions on the authority to issue “relevant securities”
   The authority required for the issue of relevant securities
   Authority limited to five years and renewal of the authority
   Registration etc. of resolution
   Issues made without authority
Pre-emption rights
   The pre-emption rights
   The scope of the statutory pre-emption rights
   The securities which have to be offered for pre-emption
   Time and terms of pre-emption offer
   Non-application of pre-emption rights
   Exclusion of pre-emption rights
Civil sanctions
Criminal sanctions
Transitional provisions
Application for shares
  The application as an offer of securities
  Applicant by agent
  Conditional applications
  Mistake as to company
Application pursuant to prospectus
  Statutory restriction on revocability of application
  Restrictions on revocation of allotment: “stagging”
  Exchange of shares for shares
  Form of application

Chapter 28: Allotment of shares

  The allotment as acceptance
  Specific performance of contract for the allotment of shares
  Application must be accepted within reasonable time
  Notice of allotment
  Instantaneous acceptance
  Acceptance by post
  Proof of notice
  Stamp duty
  Allotment by irregularly constituted board of directors
  Ultra vires allotment
  Directors’ duty as to allotment
  Renounceable and non-renounceable letters of allotment
  Combined renounceable share certificates and allotment letters
  Statutory restrictions on allotment
  Partial allotment
  Time of allotment
  The minimum subscription
  Shares and debentures to be dealt with on a stock exchange
  Irregular allotments
  Voidability for irregular allotment: the time limit
  Irregular allotment voidable, not void
  Rights against directors who contravene statute
  Return of allotments
  Abolition of capital duty
  Statement in notes in the accounts

Chapter 29: Payment in consideration for allotment
Who is liable for payment?
Payment: the consideration
Prohibition of shares being allotted at a discount
Payment of commission
Payment in money’s worth: the development of the law and the position of private companies
Payment for shares of a public company
Public company: no work or services in payment of shares
Public company: allotment as to one-quarter paid up plus premium
Public company: payment by long term undertaking
Valuation of non-cash consideration before allotment
Public company: transfer of non-cash asset within the initial period
Public company: shares issued to subscriber to memorandum

Chapter 30: Issue of shares at a premium

The nature of issues at a premium
Formation of a share premium account
Application of the share premium account
Relief from the obligation to form a share premium account

PART 10: MEMBERSHIP AND DEALINGS WITH SHARES

Chapter 31: Membership and title to shares

Membership
The maintenance of the register of members
Equitable interests in shares

Chapter 32: Transfer of shares

The power to transfer
Restrictions on transfer
The mechanics of transfer
Share warrants
The effect of defective title
Estoppel
Transfers further to a charge or mortgage
Transfers on declaration of trust
Certification of transfers
Uncertificated shares
Chapter 33: Sales of shares
- Contracts for the sale of shares
- Financing of the sale of shares
  - Public companies
  - Private companies
- Sales effected on exchange
- Insider dealing in the acquisition of shares
- The company’s lien

PART 11: OTHER FORMS OF SECURITY

Chapter 34: Mortgages and other securities under the general law

Advanced query: is there a need for a chapter on “securities” as they are generally defined in English law, e.g., mortgages, or is the answer that this book focuses on tradeable/transferable securities, in which case it would need to consider securitised assets which have become transferable securities.

Chapter 35: Securitization of assets